


524 Rec'd PCTO 25 AUG 1998

FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				105-030USAC00 09/380256
INTERNATIONAL APPLICATION NO. PCT/US98/03688		INTERNATIONAL FILING DATE 25 February 1998		PRIORITY DATE CLAIMED 26 February 1997
TITLE OF INVENTION ELECTRO-OPTICAL GLAZING STRUCTURES HAVING REFLECTION AND TRANSPARENT MODES OF OPERATION				
APPLICANT(S) FOR DO/EO/US Sadeg M. Faris, Le Li and Jian-Feng Li				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). * 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 				
Items 11. to 16. below concern document(s) or information included:				
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.				
14. <input type="checkbox"/> A substitute specification.				
15. <input type="checkbox"/> A change of power of attorney and/or address letter.				
16. <input checked="" type="checkbox"/> Other items or information: Copy of Published PCT Appln. PCT/US98/03688 as WO 98/38547 Copy of International Preliminary Examination Report Copy of Chapter II Demand Copy of Notification Of Receipt Of Demand Copy of Chapter I Request **Combined Declaration for Patent Application and Power of Attorney				

CS APPLICATION NO. (PCT/US) 09/380256 INTERNATIONAL APPLICATION NO. PCT/US98/03688		ATTORNEY'S DOCKET NUMBER 105-030USAC00	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO r JPO..... \$850.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$660.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$730.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$980.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$92.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	28 -20 =	8	X \$22.00
Independent claims	10 -3 =	7	X \$76.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$240.00
TOTAL OF ABOVE CALCULATIONS =			\$684.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).			\$684.00
SUBTOTAL =			\$684.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$
TOTAL NATIONAL FEE =			\$684.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$40.00
TOTAL FEES ENCLOSED =			\$724.00
			Amount to be:
			refunded \$
			charged \$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>724.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-1340</u> . A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
Thomas J. Perkowski, Esq. Thomas J. Perkowski, Esq., P.C. Soundview Plaza 1266 East Main Street Stamford, Connecticut 06902		SIGNATURE  Thomas J. Perkowski NAME <u>33,134</u> REGISTRATION NUMBER	

105-030USAC00

09/380256

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase Entry Application into the United States (DO/EO/US) of:

Applicants	:	Sadeg M. Faris, Le Li and Jian-Feng Li
Assignee	:	Reveo, Inc.
International Application	:	
Serial No.	:	PCT/US98/03688
International Filing Date	:	February 25, 1998

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER ACCOMPANYING
FILING UNDER 35 U.S.C. 371

SIR:

Transmitted herewith please find the following documents in connection with the above referenced International Application's National Phase entry into the United States Designated/Elected Office:

- Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371;
- Preliminary Amendment;
- Thomas J. Perkowski, Esq., P.C. Check No. 907, in the amount of \$724.00;
- Copy of Assignment for PCT/US98/03688;
- Recordation Form Cover Sheet;
- Transmittal Of Assignment for Recordation;
- Copy of Published International Application PCT/US98/03688, Publication No. WO 98/38547;
- Copy of Chapter II Demand;
- Combined Declaration For Patent Application And Power Of Attorney;
- Copy of Chapter I Request;
- Copy of International Preliminary Examination Report;

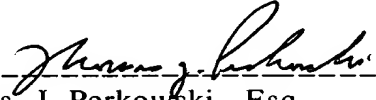
Transmittal Letter Accompanying Filing
Under 35 U.S.C. 371
National Phase Entry Of PCT/US98/03688
Attorney Docket No.: 105-030USAC00

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- Declaration Claiming Small Entity Status (37 C.F.R. 1.9(f) and 1.27(c)), -Small Business Concern; and
- Certificate of Express Mail (No. EL364975621US) under C.F.R. 1.10 dated August 25, 1999.

Respectfully submitted,

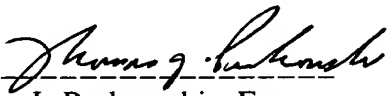
Dated: August 25, 1999



Thomas J. Perkowski, Esq.
Reg. No. 33,134
Agent for Applicants
Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, Connecticut 06902
203-357-1950


CERTIFICATE OF EXPRESS MAIL
UNDER 37 CFR 1.10

I hereby certify that this correspondence
is being deposited with the United States Postal Service
on August 25, 1999 as Express Mail
(Express Mail No. EL364975621US)
addressed to
Commissioner of Patents and
Trademarks
Washington, D.C. 20231



Thomas J. Perkowski, Esq.
Reg. No. 33,134
Dated: August 25, 1999

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APPLICATION NO. (37 CFR 1.5) 09/380256		INTERNATIONAL APPLICATION NO. PCT/US98/03688		ATTORNEY'S DOCKET NUMBER 105-030USAC00	
17 <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$850.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$660.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$730.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$980.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$92.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 660.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	28 -20 =	8	X \$22.00	\$ 176.00	
Independent claims	10 -3 =	7	X \$76.00	\$ 532.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$240.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 684.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 684.00	
SUBTOTAL =				\$ 684.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 684.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 724.00	
				Amount to be: refunded \$ charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>724.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-1340</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
Thomas J. Perkowski, Esq. Thomas J. Perkowski, Esq., P.C. SEND ALL CORRESPONDENCE Soundview Plaza 1266 East Main Street Stamford, Connecticut 06902				 SIGNATURE Thomas J. Perkowski NAME <u>33,134</u> REGISTRATION NUMBER	